

C7P-2633

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
113197-002

In Re Application Of: Sakuma, K., et al.

Serial No.
09/775,841

Filing Date
February 1, 2001

Examiner
Unknown

Group Art Unit
2633

Title: **OPTICAL SWITCH**

RECEIVED
OCT 04 2001
Technology Center 2600

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal Letter (duplicate); IDS (1 pgs.); PTO-1449 (1 pg.); Two References; Two English Abstracts and Return Receipt Postcard

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

RECEIVED
OCT 11 9 2001
10 2800 MAIL ROOM


Signature

Dated: September 26, 2001

Michael S. Leonard (Reg. No. 37,557)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Tel: 312 807-4270
Fax: 312 372-2098

I certify that this document and fee is being deposited on Sept. 26, 2001 with the U.S. Postal Service as first class mail under 39 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

cc:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) of 1.95(c))

Docket No.
113197-002

In Re Application Of: Sakuma, K., et al.

Serial No.

09/775,841

Filing Date

February 1, 2001

Examiner

Unknown

Group Art Unit

2633

Title: OPTICAL SWITCH

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

RECEIVED
OCT 04 2001
Technology Center 2600

RECEIVED
OCT -9 2001
TC 2600 MAIL ROOM

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
113197-002

In Re Application: Sakuma, K., et al.

Serial No.
09/775,841

Filing Date
February 1, 2001

Examiner
Unknown

Group Art Unit
2633

OPTICAL SWITCH

RECEIVED
OCT 04 2001
Technology Center 2000

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited September 26, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Michael S. Leonard (Reg. No. 37,557)

Bell, Boyd & Lloyd LLC

P.O. Box 1135

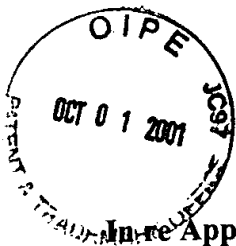
Chicago, Illinois 60690-1135

Tel: 312 807-4270

Fax: 312 372-2098

Dated: September 26, 2001

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Sakuma, K., et al.

Application No. 09/775,841

Filed: February 1, 2001

For: OPTICAL SWITCH

Group Art Unit: 2633

Examiner: Unknown

Docket No.: 113197-002

#4/IDS
MR
10/12/01

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
OCT 04 2001
Technology Center 2600

S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that citation and consideration of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

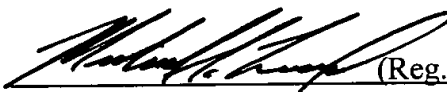
English abstracts are enclosed for the cited references.

Foreign Patents

<u>Country</u>	<u>Document No.</u>	<u>Publication Date</u>
Japan	10-20348	January 23, 1998
Japan	2000-241774	September 8, 2000

RECEIVED
OCT - 9 2001
TC 2000 MAIL ROOM

Respectfully submitted,

 (Reg. No. 37,557)

Michael S. Leonard
Bell, Boyd & Lloyd, LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
(312) 807-4270
Attorney for Applicants

Dated: September 26, 2001